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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	4
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**Complete if Known**

Application Number	10/553,722
Filing Date	07/10/2006
First Named Inventor	Rosanne M. Crooke
Art Unit	1635
Examiner Name	Terra C. Gibbs
Attorney Docket Number	RI01.0004USA

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2

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First Named Inventor	Rosanne M. Crooke
Art Unit	1635
Examiner Name	Terra C. Gibbs
Attorney Docket Number	BIOL0004USA

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AG	AGRAWAL, S. et al., "Antisense therapeutics: is it as simple as complementary base recognition?" <i>Mol. Med. Today</i> (2000) 6:72-81.	
	AH	BRAASCH, D. A. et al., "Novel Antisense and Peptide Nucleic Acid Strategies for Controlling Gene Expression," <i>Biochem.</i> (2002) 41(14):4503-4510.	
	AI	BRANCH, A. D., "A good antisense molecule is hard to find," <i>TIBS</i> (1998) 23:45-50.	
	AJ	CROOKE, S. T., "Progress in Antisense Technology," <i>Ann. Rev. Med.</i> (2004) 55:61-95.	
	AK	DAMMERMAN, M. et al., "An apolipoprotein CIII haplotype protective against hypertriglyceridemia is specified by promoter and 3' untranslated region polymorphisms," <i>Proc. Natl. Acad. Sci. USA</i> (1993) 90:4562-4566.	
	AL	DE SILVA, H. V. et al., "Overexpression of Human Apolipoprotein C-III in Transgenic Mice Results in an Accumulation of Apolipoprotein B48 Remnants That Is Corrected by Excess Apolipoprotein E," <i>J. Biol. Chem.</i> (1994) 269(3):2324-2335.	
	AM	GEWIRTZ, A. M. et al., "Facilitating oligonucleotide delivery: Helping antisense deliver on its promise," <i>Proc. Natl. Acad. Sci. USA</i> (1996) 93:3161-3163.	
	AN	HERTZ, R. et al., "Mode of Action of Peroxisome Proliferators as Hypolipidemic Drugs," <i>J. Biol. Chem.</i> (1995) 270(22):13470-13475.	
	AO	ITO, Y. et al., "Hypertriglyceridemia as a Result of Human Apo CIII Gene Expression in Transgenic Mice," <i>Science</i> (1990) 249:790-793.	
	AP	JEN, K.-Y. et al., "Suppression of Gene Expression by Targeted Disruption of Messenger RNA: Available Options and Current Strategies," <i>Stem Cells</i> (2000) 18:307-319.	
	AQ	KARATHANASIS, S. K., "Apolipoprotein multigene family: Tandem organization of human apolipoprotein AI, CIII, and AIV genes," <i>Proc. Natl. Acad. Sci. USA</i> (1985) 82:6374-6378.	
	AR	KARDASSIS, D. et al., "SMAD Proteins Transactivate the Human ApoCIII Promoter by Interacting Physically and Functionally with Hepatocyte Nuclear Factor I," <i>J. Biol. Chem.</i> (2000) 275(52):41405-41414.	
	AS	KARDASSIS, D. et al., "Direct Physical Interactions between HNF-4 and Sp1 Mediate Synergistic Transactivation of the Apolipoprotein CIII Promoter," <i>Biochem.</i> (2002) 41(4):1217-1228.	

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Considered

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Sheet **3** of **4****Complete if Known**

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	AT	LEVY-WILSON, B. et al., "Isolation and DNA Sequence of Full-Length cDNA for Human Preapoprotein CIII," <i>DNA</i> (1984) 3(5):359-364.	
	AU	LI, W. W. et al., "Common Genetic Variation in the Promoter of the Human apo CIII Gene Abolishes Regulation by Insulin and May Contribute to Hypertriglyceridemia," <i>J. Clin. Invest.</i> (1995) 96:2601-2605.	
	AV	MAEDA, H. et al., "Molecular cloning of a human apoC-III variant: Thr 74 → Ala 74 mutation prevents O-glycosylation," <i>J. Lipid Res.</i> (1987) 28:1405-1409.	
	AW	MAEDA, N. et al., "Targeted Disruption of the Apolipoprotein C-III Gene in Mice Results in Hypotriglyceridemia and Protection from Postprandial Hypertriglyceridemia," <i>J. Biol. Chem.</i> (1994) 269(38):23610-23616.	
	AX	OGAMI, K. et al., "Purification and Characterization of a Heat Stable Nuclear Factor CIIIB1 Involved in the Regulation of the Human ApoC-III Gene," <i>J. Biol. Chem.</i> (1991) 266(15):9640-9646.	
	AY	OLIVIERI, O. et al., "ApoC-III gene polymorphisms and risk of coronary artery disease," <i>J. Lipid Res.</i> (2002) 43:1450-1457.	
	AZ	OLIVIERI, O. et al., "Apolipoprotein C-III, n-3 Polyunsaturated Fatty Acids, and "Insulin-Resistant" T-455C APOC3 Gene Polymorphism in Heart Disease Patients: Example of Gene-Diet Interaction," <i>Clin. Chem.</i> (2005) 51(2):360-367.	
	BA	OPALINSKA, J. B. et al., "Nucleic-Acid Therapeutics: Basic Principles and Recent Applications," <i>Nature Rev. Drug Discov.</i> (2002) 1:503-514.	
	BB	PROTTER, A. A. et al., "Isolation and Sequence Analysis of the Human Apolipoprotein CIII Gene and the Intergenic Region between the Apo AI and Apo CIII Genes," <i>DNA</i> (1984) 3(6):449-456.	
	BC	RASPÉ, E. et al., "Identification of Rev-erba as a physiological repressor of apoC-III gene transcription," <i>J. Lipid Res.</i> (2002) 43:2172-2179.	
	BD	SCHOOJANS, K. et al., "3-Hydroxy-3-methylglutaryl CoA reductase inhibitors reduce serum triglyceride levels through modulation of apolipoprotein C-III and lipoprotein lipase," <i>FEBS Lett.</i> (1999) 452:160-164.	
	BE	SENIOR, K., "Antisense inhibitor provides new treatment approach for hypercholesterolemia," <i>DDT</i> (2002) 7(16):840-841.	

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First Named Inventor

Rosanne M. Crooke

Art Unit

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Signature

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